

**FRANCES BAARD DISTRICT MUNICIPALITY****PERFORMANCE AGREEMENT****MADE AND ENTERED INTO BY AND BETWEEN:**

**FRANCES BAARD DISTRICT MUNICIPALITY,  
AS REPRESENTED BY:  
THE EXECUTIVE MAYOR ON BEHALF OF THE MUNICIPAL  
MANAGER**

***Cllr. Buyiswa Virginia Ximba***

.....  
(FULL NAMES)

**AND**

***Ms. Kealeboga Granney Gaborone***

.....  
(FULL NAMES)

**THE HOD: ADMINISTRATION**

**FOR THE PERIOD**

**01 JULY 2017 - 30 JUNE 2018**

**PERFORMANCE AGREEMENT ENTERED INTO BY AND BETWEEN:**

The FRANCES BAARD DISTRICT MUNICIPALITY herein represented by Cllr. Buyiswa Virginia Ximba (Full name/s and surname) in her capacity as Executive Mayor on behalf of the Municipal Manager (hereinafter referred to as the Employer or Supervisor)

and

Ms Kealeboga Granney Gaborone (Full name/s and surname) as Director of Administration of the FRANCES BAARD DISTRICT MUNICIPALITY (hereinafter referred to as the Employee).

**WHEREBY IT IS AGREED AS FOLLOWS:**

**1. INTRODUCTION**

1.1 The Employer has entered into a contract of employment with the Employee in terms of section 57(1)(a) of the Local Government: Municipal Systems Act 32 of 2000 ("the Systems Act"). The employer and the employee are hereinafter referred to as "the Parties".

1.2 Section 57(1)(b) of the Systems Act, read with the Contract of Employment concluded between the parties, requires the parties to conclude an annual performance agreement.

1.3 The parties wish to ensure that they are clear about the goals to be achieved, and secure the commitment of the employee to a set of outcomes that will secure local government policy goals.

1.4 The parties wish to ensure that there is compliance with Sections 57 (4a), 57 (4b) and 57 (5) of the Systems Act.

**2. PURPOSE OF THIS AGREEMENT**

The purpose of this Agreement is to -

2.1 comply with the Systems Act, the Performance Regulations as well as with the "Contract of Employment" entered into between the parties;

2.2 specify objectives and targets established for the employee and to communicate to the employee the employer's expectations of the employee's performance expectations and accountabilities;

2.3 specify accountabilities as set out in the "Performance Plan" (Annexure A);

2.4 monitor and measure performance against set targeted outputs;

2.5 use the "Performance Agreement" and "Performance Plan" as the basis for assessing the suitability of the employee for permanent employment and/or to assess whether the employee has met the performance expectations applicable to his/her job;

2.6 appropriately reward/pay the employee in accordance with the employer's performance management policy in the event of outstanding performance and relationship with the employee in attaining equitable and improved service delivery.

### 3. COMMENCEMENT AND DURATION OF AGREEMENT

3.1 This agreement will commence on the 1<sup>st</sup> of July 2017 and will remain in force until the 30<sup>th</sup> of June 2018 whereupon a new Performance Agreement, Performance Plan (Annexure A & B) and Personal Development Plan (Annexure C) shall be concluded between the parties for the next financial year or any portion thereof.

3.2 The parties will review the provisions of this agreement during "May/June" each year. The parties will conclude a new performance agreement and performance Plan that replaces this agreement at least once a year by not later than the beginning of each successive financial year.

3.3 This agreement will terminate on the termination of the employee's "Contract of Employment" for any reason.

3.4 The content of this agreement may be revised at any time during the above-mentioned period to determine the applicability of the matters agreed upon.

3.5 If at any time during the validity of this agreement the work environment alters (whether as a result of government or council decisions or otherwise) to the extent that the contents of this agreement are no longer appropriate, the contents shall immediately be revised.

### 4. PERFORMANCE OBJECTIVES

4.1 The Performance Plan (Appendix A & B) sets out-

4.1.1 the performance objectives and targets that must be met by the employee; and

4.1.2 the time frames within which those performance objectives and targets must be met.

4.2 The performance objectives and targets reflected in "Appendix A" would be set by the employer in consultation with the employee and based on the Integrated Development Plan (IDP), the Service Delivery and Budget Implementation Plan



(SDBIP) and the Budget of the employer for a particular financial year, and shall include key objectives; key performance indicators; target dates and weightings.

4.3 The key objectives describe the main tasks that need to be done. The key performance indicators provide the details of the evidence that must be provided to show that a key objective has been achieved. The target dates describe the timeframe in which the work must be achieved. The weightings show the relative importance of the key objectives in relation to each other.

4.4 The employee's performance will, in addition, be measured in terms of contributions to the goals and strategies set out in the employer's Integrated Development Plan (IDP).

## 5. PERFORMANCE MANAGEMENT SYSTEM

5.1 The employee agrees to participate in the performance management system that the employer adopts or introduces for the employer, management and municipal staff of the employer.

5.2 The employee accepts that the purpose of the performance management system will be to provide a comprehensive system with specific performance standards to assist the employer, management and municipal staff to perform to the standards required.

5.3 The employer will consult the employee about the specific performance standards that will be included in the performance management system as applicable to the employee.

## 6. PERFORMANCE AGREEMENT

6. The employee agrees to sign and adhere to the performance conditions and criteria set out in the Performance Agreement and the Performance Plan (Appendices A, B and C) of the municipality.

6.1 The employee undertakes to actively focus towards the promotion and implementation of the KPA's and KPI's (including special projects relevant to the employee's responsibilities) within the local government framework.

6.2 The criteria upon which the performance of the employee shall be assessed shall consist of two components, both of which shall be contained in the Performance Plan of the Employee (Appendices A and B).

6.2.1 The employee must be assessed against both components, with a weighting of 80:20 allocated to the Key Performance Areas (KPA's) and the Core Managerial Requirements (CCR's), respectively.

6.2.2 Each area of assessment will be weighted and will contribute a specific part to the total score.

6.2.3 KPA's covering the main areas of work will account for 80% and CCR's will account for 20% of the final assessment.

6.3 The employee's assessment will be based on his/her performance in terms of the outputs/outcomes (performance indicators) identified as per attached Performance Plan ( Appendix A ), which are linked to the KPA's, and will constitute 80% of the overall assessment result as per the weightings agreed to between the employer and employee:

<b>APPENDIX A: KEY PERFORMANCE AREAS (KPA's)</b>	<b>Weighting</b>
Institutional Development and Transformation	70
Municipal Financial Viability and Management	20
Good Governance and Public Participation	10
<b>Total</b>	<b>100</b>

6.4 The CCR's will constitute the other 20% of the employee's assessment score. CCR's that are deemed to be most critical for the employee's specific job should be selected from the list below as agreed to between the employer and employee:

<b>APPENDIX B: CORE COMPETENCY REQUIREMENTS ( CCR's)</b>		
<b>1. Core Managerial Competencies (CMC's)</b>	<b>✓</b>	<b>Weight</b>
Financial Management	✓	10
Client Orientation and Customer Focus	✓	10
People Management and Empowerment	✓	10
Honesty and Integrity		

Problem Solving and Analytical Thinking		
People and Diversity Management		
Service Delivery Innovation		
Strategic Capability and Leadership	✓	10
<b>2. Core Occupational Competencies (COC's)</b>		
Competence in policy conceptualization, analysis and implementation	✓	10
Exceptional and dynamic creativity to improve municipality Policy conceptualization	✓	10
Interpretation of legislative and policy framework	✓	10
Skills in Mediation		
Skills in Governance	✓	10
Knowledge of Performance Management and Reporting	✓	10
Knowledge of developmental local government	✓	10
<b>TOTAL</b>		<b>100</b>

## 7. EVALUATING PERFORMANCE

7.1 The Performance Plan (Appendices A and B) to this Agreement sets out –

7.1.1 the standards and procedures for evaluating the employee's performance; and

7.1.2 the intervals for the evaluation of the employee's performance.

7.2 Despite the establishment of agreed intervals for evaluation, the employer may in addition review the employee's performance at any stage while the contract of employment remains in force.

7.3 Personal growth and development needs to be identified during any performance review discussion and must be documented in a "Personal



Development Plan" (Appendix C) as well as the actions agreed upon and implementation must take place within set time frames.

7.4 The employee's performance will be measured in terms of contributions to the goals and strategies set out in the employer's IDP and SDBIP.

7.5 The annual performance appraisal/assessment will involve:

7.5.1 Assessment of the achievement of results as outlined in the performance plan:

(a) Each KPA should be assessed according to the extent to which the specified standards or performance indicators have been met and with due regard to ad hoc tasks that had to be performed under the KPA.

(b) An indicative rating on a five-point scale should be provided for each KPA.

(c) The applicable assessment rating calculator (refer to paragraph 6.5.3 below) will then be used to add the scores and to calculate a final KPA score.

7.5.2 Assessment of the CCR's (CMC's and COC's)

(a) Each CCR should be assessed according to the extent to which the specified standards have been met.

(b) An indicative rating on a five-point scale should be provided for each CCR.

(c) The applicable assessment rating calculator will then be used to add the scores and to calculate a final CCR score.

7.5.3 Overall rating

An overall average rating is calculated by using the applicable assessment-rating calculator which in turn will constitute the final outcome of the performance appraisal/assessment.

7.6 The assessment of the performance of the employee will be based on the following rate scale for KPA's and CCR's:

Level	Terminology	Description	Rating: 1- 5
5.0	Outstanding performance	Performance exceeds by far the standard expected of the employee at this level. The appraisal indicates that the employee has achieved <u>above fully effective results against all performance criteria and indicators as specified in the Performance Plan and maintained this in all areas of</u>	

		<u>responsibility throughout the year.</u>	
4.0 to 4.9	Performance significantly above expectations	Performance is significantly higher than the standard expected in the job. The appraisal indicates that the Employee has achieved <u>above fully effective results against more than half of the performance criteria and indicators and fully achieved all others throughout the year.</u>	

Level	Terminology	Description	Rating: 1 - 5
3.0 to 3.9	Fully effective	Fully effective Performance fully meets the standards expected in all areas of the job. The appraisal indicates that the Employee has <u>fully achieved effective results against all significant performance criteria and indicators as specified in the Performance Agreement and Performance Plan.</u>	
2.0 to 2.9	Not fully effective	Performance is below the standard required for the job in key areas. Performance meets some of the standards expected for the job. The review/assessment indicates that the <u>employee has achieved below fully effective results against more than half the key performance criteria and indicators as specified in the PA and Performance Plan.</u>	
1.0 to 1.9	Unacceptable performance	Performance does not meet the standards expected for the job. The review/assessment indicates that the <u>employee has achieved below fully effective results against almost all of the performance criteria and indicators as specified in the PA and Performance Plan. The employee has failed to demonstrate the commitment or ability to bring performance up to the level expected in the job despite all efforts to encourage improvement.</u>	



## **8. SCHEDULE FOR PERFORMANCE REVIEWS**

**8.1** The performance of each employee in relation to his/her performance agreement shall be reviewed on the following dates with the understanding that reviews in the first and third quarter may be verbal if performance is satisfactory:

Appraisals/Assessments will be done during the first two weeks of the new quarter.

First quarter (July – September)  
 Second quarter (October – December)  
 Third quarter (January – March)  
 Fourth quarter (April – June)

**8.2** The employer shall keep record of the mid-year review and annual assessments.

**8.3** Performance feedback shall be based on the employer's assessment of the employee's performance.

**8.4** The employer will be entitled to review and make reasonable changes to the provisions of "Appendices A and B" from time to time for operational reasons. The employee will be fully consulted before any such change is made.

**8.5** The employer may amend the provisions of "Appendices A and B" or any other part of the performance plan and performance agreement whenever the performance management system has been changed or amended in which instances the employee will be fully consulted before any such changes are being made.

## **9. DEVELOPMENTAL REQUIREMENTS**

The Personal Development Plan (PDP) for addressing developmental gaps is attached as Appendix C.

## **10. OBLIGATIONS OF THE EMPLOYER**

**10.1** The employer shall –

**10.1.1** create an enabling environment to facilitate effective performance by the employee;

**10.1.2** provide access to skills development and capacity building opportunities;

**10.1.3** work collaboratively with the employee to solve problems and generate solutions to common problems that may impact on the performance of the employee;

10.1.4 on the request of the employee delegate such powers reasonably required by the employee to enable him/her to meet the performance objectives and targets established in terms of this agreement; and

10.1.5 make available to the employee such resources as the employee may reasonably require from time to time to assist him/her to meet the performance objectives and targets established in terms of this agreement.

## 11. CONSULTATION

11.1 The employer agrees to consult the employee timeously where the exercising of the powers will have amongst others –

11.1.1 a direct effect on the performance of any of the employee's functions;

11.1.2 commit the employee to implement or to give effect to a decision made by the employer; and

11.1.3 a substantial financial effect on the employer.

11.2 The employer agrees to inform the employee of the outcome of any decisions taken pursuant to the exercise of powers contemplated in 11.1 as soon as is practicable to enable the employee to take any necessary action without delay.

## 12. MANAGEMENT OF EVALUATION OUTCOMES

12.1 The evaluation of the employee's performance will form the basis for rewarding outstanding performance or correcting unacceptable performance as per the approved performance policy of the municipality.

12.2 The employee will be eligible for progression to the next higher remuneration package, within the relevant remuneration band, after completion of at least twelve months (12) service at the current remuneration package on 30 June (end of financial year) subject to a fully effective assessment, only if such a remuneration band exists within the remuneration system of the municipality for the particular post level.

12.3 In the case of unacceptable performance, the employer shall –

12.3.1 provide systematic remedial or developmental support to assist the employee to improve his or her performance; and

12.3.2 after appropriate performance counseling and having provided the necessary guidance and/or support as well as reasonable time for improvement in performance, the employer may consider steps to terminate the contract of employment of the employee on grounds of unfitness or incapacity to carry out his or her duties.

### 13. DISPUTE RESOLUTION

13.1 Any disputes about the nature of the **Director: Administration** performance agreement, whether it relates to key responsibilities, priorities, methods of assessment and/ or any other matter provided for, shall be mediated by

13.1.1 the **Executive Mayor** within thirty (30) days of receipt of a formal dispute from the **Director: Administration** or

13.1.2 any other person appointed by the Municipal Manager.

13.2 In the event that the mediation process contemplated above fails, clause 19.3 of the Contract of Employment shall apply.

### 14. GENERAL

14.1 The contents of this agreement and the outcome of any review conducted in terms of "**Appendices A and B**" may be made available to the public by the employer.

14.2 Nothing in this agreement diminishes the obligations, duties or accountabilities of the **Director: Administration** in terms of his contract of employment, or the effects of existing or new regulations, circulars, policies, directives or other instruments.

Thus done and signed at KIMBERLEY on this 15<sup>TH</sup>  
day of JUNE 2017.

AS WITNESSES:

1. [Signature] [Signature]

**DIRECTOR ADMINISTRATION**

2. [Signature] [Signature]

**EXECUTIVE MAYOR ON BEHALF OF THE MUNICIPAL MANAGER**



## PERFORMANCE MANAGEMENT SYSTEM (PMS)

## PERFORMANCE PLAN for 2017/18 - DIRECTORATE: ADMINISTRATION

## APPENDIX A:

## KEY PERFORMANCE AREAS (KPA's) and KEY PERFORMANCE INDICATORS (KPI's) = 80%

Purpose: The performance plan defines Council's expectations of the Director: Administration's performance agreement to which this document is attached and Section 57(5) of the Municipal Systems Act, which provides that performance objectives and targets must be based on key performance indicators(KPI's) as set in the Municipality's Integrated Development Plan(IDP) as reviewed annually.

## Key responsibilities:

1. Provide effective Office Support services.
2. Ensure effective Human Resource Management.
3. Maintain an effective Information Technology System.
4. Promote a Safe and Healthy Environment.
5. Maintain and promote good governance and public participation.
6. Maintain an effective Disaster Management Function.
7. Maintain sound financial viability and management.

Key Performance Area			Key Performance Indicators				Baseline Information	Annual Targets			Quarterly Projections							
No.	KPA's	100	No.	KPI's	SDBIP	Weight		Time Frame	Quantity		1st Quarter		2nd Quarter		3rd Quarter		4th Quarter	
No.	KPA's	100	No.	KPI's	SDBIP	100			Number Amount	%	Number Amount	%	Number Amount	%	Number Amount	%	Number Amount	%
KPA 1: Municipal Institutional Development and Transformation																		
1,1	Environmental Health Management	12	1	Percentage progress on the programme of implementing effective and sustainable municipal health services in the district.	16	6	2016/17 Programmes Completed	01/07/2017 - 30/06/2018	5 Progr.	100%	—	20%	—	50%	—	75%	—	100%
			2	Percentage progress on the programme to improve environmental planning and management in the district.	17	6	2016/17 Programmes Completed	01/07/2017 - 30/06/2018	7 Progr.	100%	—	20%	—	50%	—	75%	—	100%
1,2	Disaster Management	24	3	Percentage completion of projects and programmes aimed at disaster management capacity building in 3 local municipalities of the district.	18	6	2016/17 Programmes Completed	01/07/2017 - 30/06/2018	30	100%	—	25%	—	50%	—	75%	—	100%
			4	Percentage implementation of response recovery mechanisms in the 3LMs of the district as per the DDMP.	19	6	2016/17 Programmes Completed	01/07/2017 - 30/06/2018	—	100%	—	20%	—	50%	—	75%	—	100%
			5	Percentage completion of projects and programmes aimed at capacity building in fire fighting for 3 local municipalities in the district.	20	6	2016/17 Programmes Completed	01/07/2017 - 30/06/2018	—	100%	—	25%	—	50%	—	75%	—	100%
			6	Percentage maintenance of the security systems in FBDM.	21	6	2016/17 Programmes Completed	01/07/2017 - 30/06/2018	—	100%	—	100%	—	100%	—	100%	—	100%
1,3	Human Resource Development	20	7	Percentage compliance with HR legislative framework in FBDM for the 2017/18 FY.	22	8	100% Compliance in 2016/17 FY	01/07/2017 - 30/06/2018	—	100%	—	100%	—	100%	—	100%	—	100%
			8	Percentage development of an HR Strategy for FBDM by 2017/18 FY.	23	7	2007 Approved HR Strategy	01/07/2017 - 30/06/2018	—	100%	—	25%	—	50%	—	75%	—	100%
			9	Percentage implementation of a support programme to assist the 3 LMs in the district with HR management compliance for the 2017/18 FY.	24	5	Support provided in 2016/17 FY.	01/07/2017 - 30/06/2018	—	100%	—	100%	—	100%	—	100%	—	100%
1,4	Records Management	15	10	Percentage compliance with the Provincial Archives Act in FBDM and at the LMs for the 2017/18 FY.	25	5	100% Compliant	01/07/2017 - 30/06/2018	—	100%	—	100%	—	100%	—	100%	—	100%
			11	Percentage provision of an effective and cost-efficient office support functions rendered to FBDM for 2017/18 FY.	26	5	100% Office support rendered for 2016/17	01/07/2017 - 30/06/2018	—	100%	—	100%	—	100%	—	100%	—	100%
			12	Percentage implementation of the maintenance plan rendered to FBDM buildings for the 2017/18 FY.	27	5	2016/17 Maintenance projects complete	01/07/2017 - 30/06/2018	—	100%	—	25%	—	50%	—	75%	—	100%
1,5	Information Communication Technology (ICT)	10	13	Percentage improvement and maintenance of ICT infrastructure in FBDM in the 2017/18 FY.	28	5	Approved activities/ projects for 2017/18	01/07/2017 - 30/06/2018	—	100%	—	25%	—	50%	—	75%	—	100%
			14	Percentage implementation of ICT support programmes for the 3 LMs for the 2017/18 FY.	29	5	100% Support provided as per request	01/07/2017 - 30/06/2018	—	100%	—	20%	—	50%	—	70%	—	100%
1,6	Performance Management (PMS)	5	15	Percentage compliance with the implementation of the approved performance management system (PMS) in the Department of Administration in terms of the adopted PMS Policy of FBDM for the 2017/18 FY.	33	5	100%	01/07/2017 - 30/06/2018	—	100%	—	25%	—	50%	—	75%	—	100%
KPA 2: Democratic Governance and Public Participation																		
2	Good Governance and Public Participation.	10	16	Percentage compliance with good public participation practices in the affairs of the municipality, directly effected by the Department: Administration for the 2017/18 FY.	37	5	Identified public participation activities	01/07/2017 - 30/06/2018	—	100%	—	100%	—	100%	—	100%	—	100%
			17	Percentage implementation and support to the municipality's support plan for staff morale and motivation in the Department: Administration Development for the 2017/18 FY.	39	5	Support plan for staff morale and motivation.	01/07/2017 - 30/06/2018	—	100%	—	25%	—	50%	—	75%	—	100%
KPA 3: Municipal Financial Viability and Management																		
3	Municipal Financial Viability and Management	4	18	Percentage compliance to financial legislaive requirements of the municipality within the activities of the Department: Administration for the 2017/18 FY.	53	2	100%	01/07/2017 - 30/06/2018	—	100%	—	100%	—	100%	—	100%	—	100%
			19	Percentage compliance with MSCOA requirements in the municipality in relation to the activities of Department": Administration for the 2017/8 FY.	56	2	100%	01/07/2017 - 30/06/2018	—	100%	—	100%	—	100%	—	100%	—	100%
		100				100												

THIS PERFORMANCE PLAN COVERS THE PERIOD: 01 JULY 2017 - 30 JUNE 2018

MUNICIPAL MANAGER:



DATE SIGNED:

HOD: ADMINISTRATION:

