

FRANCES BAARD DISTRICT MUNICIPALITY



PERFORMANCE AGREEMENT

MADE AND ENTERED INTO BY AND BETWEEN:

**FRANCES BAARD DISTRICT MUNICIPALITY,
AS REPRESENTED BY:
THE MUNICIPAL MANAGER**

Ms. Ziphorah Mantsha Bogatsu

.....
(FULL NAMES)

AND

Mrs. Kealeboga Gaborone

.....
(FULL NAMES)

THE DIRECTOR: ADMINISTRATION

FOR THE PERIOD

01 JULY 2020 - 30 JUNE 2021

*KB
KG*

PERFORMANCE AGREEMENT ENTERED INTO BY AND BETWEEN:

The FRANCES BAARD DISTRICT MUNICIPALITY herein represented by Ms. Ziphora Mantsha Bogatsu (Full name/s and surname) in her capacity as the Municipal Manager hereinafter referred to as the Employer or Supervisor)

and

Mrs. Kealeboqa Gaborone (Full name/s and surname) as the Director: Administration of the FRANCES BAARD DISTRICT MUNICIPALITY (hereinafter referred to as the Employee).

WHEREBY IT IS AGREED AS FOLLOWS:**1. INTRODUCTION**

1.1 The Employer has entered into a contract of employment with the Employee in terms of section 57(1)(a) of the Local Government: Municipal Systems Act 32 of 2000 ("the Systems Act"). The employer and the employee are hereinafter referred to as "the Parties".

1.2 Section 57(1)(b) of the Systems Act, read with the Contract of Employment concluded between the parties, requires the parties to conclude an annual performance agreement.

1.3 The parties wish to ensure that they are clear about the goals to be achieved, and secure the commitment of the employee to a set of outcomes that will secure local government policy goals.

1.4 The parties wish to ensure that there is compliance with Sections 57 (4a), 57 (4b) and 57 (5) of the Systems Act.

2. PURPOSE OF THIS AGREEMENT

The purpose of this Agreement is to -

2.1 comply with the Systems Act, the Performance Regulations as well as with the "Contract of Employment" entered into between the parties;

2.2 specify objectives and targets established for the employee and to communicate to the employee the employer's expectations of the employee's performance expectations and accountabilities;

2.3 specify accountabilities as set out in the "Performance Plan" (Annexure A);

2.4 monitor and measure performance against set targeted outputs;

2.5 use the "Performance Agreement" and "Performance Plan" as the basis for assessing the suitability of the employee for permanent employment and/or to assess whether the employee has met the performance expectations applicable to his/her job;

2.6 appropriately reward/pay the employee in accordance with the employer's performance management policy in the event of outstanding performance and relationship with the employee in attaining equitable and improved service delivery.

3. COMMENCEMENT AND DURATION OF AGREEMENT

3.1 This agreement will commence on the 1st of July 2020 and will remain in force until the 30th of June 2021 whereupon a new Performance Agreement, Performance Plan (Annexure A & B) and Personal Development Plan (Annexure C) shall be concluded between the parties for the next financial year or any portion thereof.

3.2 The parties will review the provisions of this agreement during "May /June" each year. The parties will conclude a new performance agreement and performance Plan that replaces this agreement at least once a year by not later than the beginning of each successive financial year.

3.3 This agreement will terminate on the termination of the employee's "Contract of Employment" for any reason.

3.4 The content of this agreement may be revised at any time during the above-mentioned period to determine the applicability of the matters agreed upon.

3.5 If at any time during the validity of this agreement the work environment alters (whether as a result of government or council decisions or otherwise) to the extent that the contents of this agreement are no longer appropriate, the contents shall immediately be revised.

4. PERFORMANCE OBJECTIVES

4.1 The Performance Plan (Appendix A & B) sets out-

4.1.1 the performance objectives and targets that must be met by the employee; and

4.1.2 the time frames within which those performance objectives and targets must be met.

4.2 The performance objectives and targets reflected in "Appendix A" would be set by the employer in consultation with the employee and based on the Integrated Development Plan (IDP), the Service Delivery and Budget Implementation Plan (SDBIP) and the Budget of the employer for a particular financial year, and shall include key objectives; key performance indicators; target dates and weightings.

4.3 The key objectives describe the main tasks that need to be done. The key performance indicators provide the details of the evidence that must be provided to show that a key objective has been achieved. The target dates describe the timeframe in which the work must be achieved. The weightings show the relative importance of the key objectives in relation to each other.

4.4 The employee's performance will, in addition, be measured in terms of contributions to the goals and strategies set out in the employer's Integrated Development Plan (IDP).

5. PERFORMANCE MANAGEMENT SYSTEM

5.1 The employee agrees to participate in the performance management system that the employer adopts or introduces for the employer, management and municipal staff of the employer.

5.2 The employee accepts that the purpose of the performance management system will be to provide a comprehensive system with specific performance standards to assist the employer, management and municipal staff to perform to the standards required.

5.3 The employer will consult the employee about the specific performance standards that will be included in the performance management system as applicable to the employee.

6. PERFORMANCE AGREEMENT

6. The employee agrees to sign and adhere to the performance conditions and criteria set out in the Performance Agreement and the Performance Plan (Appendices A, B and C) of the municipality.

6.1 The employee undertakes to actively focus towards the promotion and implementation of the KPA's and KPI's (including special projects relevant to the employee's responsibilities) within the local government framework.

6.2 The criteria upon which the performance of the employee shall be assessed shall consist of two components, both of which shall be contained in the Performance Plan of the Employee (Appendices A and B).

6.2.1 The employee must be assessed against both components, with a weighting of 80:20 allocated to the Key Performance Areas (KPA's) and the Core Managerial Requirements (CCR's), respectively.

6.2.2 Each area of assessment will be weighted and will contribute a specific part to the total score.

6.2.3 KPA's covering the main areas of work will account for 80% and CCR's will account for 20% of the final assessment.

6.3 The employee's assessment will be based on his/her performance in terms of the outputs/outcomes (performance indicators) identified as per attached Performance Plan (Appendix A), which are linked to the KPA's, and will constitute 80% of the overall assessment result as per the weightings agreed to between the employer and employee:

APPENDIX A: KEY PERFORMANCE AREAS (KPA's)	Weighting
Municipal Institutional Development and Transformation	70
Municipal Financial Viability and Management	20
Good Governance and Public Participation	10
Total	100

6.4 The CCR's will constitute the other 20% of the employee's assessment score. CCR's that are deemed to be most critical for the employee's specific job should be selected from the list below as agreed to between the employer and employee:

APPENDIX B: CORE COMPETENCY REQUIREMENTS (CCR's)		
1. Core Managerial Competencies (CMC's)	√	Weight
Client Orientation and Customer Focus	√	10
Financial Management (Compulsory)	√	10
People Management and Empowerment (Compulsory)	√	10
Programme and Project Management.	√	10
Strategic Capability and Leadership	√	10

2. Core Occupational Competencies (COC's)		
Competence in policy conceptualisation	√	10
Policy implementation	√	10
Accountability and ethical conduct	√	10
Partnership and stakeholder relations	√	10
Supply chain management	√	10
TOTAL		100

7. EVALUATING PERFORMANCE

7.1 The Performance Plan (Appendices A and B) to this Agreement sets out –

7.1.1 the standards and procedures for evaluating the employee's performance; and

7.1.2 the intervals for the evaluation of the employee's performance.

7.2 Despite the establishment of agreed intervals for evaluation, the employer may in addition review the employee's performance at any stage while the contract of employment remains in force.

7.3 Personal growth and development needs to be identified during any performance review discussion and must be documented in a "Personal Development Plan" (Appendix C) as well as the actions agreed upon and implementation must take place within set time frames.

7.4 The employee's performance will be measured in terms of contributions to the goals and strategies set out in the employer's IDP and SDBIP.

7.5 The annual performance appraisal/assessment will involve:

7.5.1 Assessment of the achievement of results as outlined in the performance plan:

(a) Each KPA should be assessed according to the extent to which the specified standards or performance indicators have been met and with due regard to ad hoc tasks that had to be performed under the KPA.

(b) An indicative rating on a five-point scale should be provided for each KPA.

(c) The applicable assessment rating calculator (refer to paragraph 7.6 below) will then be used to add the scores and to calculate a final KPA score.

7.5.2 Assessment of the CCR's (CMC's and COC's)

(a) Each CCR should be assessed according to the extent to which the specified standards have been met.

(b) An indicative rating on a five-point scale should be provided for each CCR.

(c) The applicable assessment rating calculator will then be used to add the scores and to calculate a final CCR score.

7.5.3 Overall rating

An overall average rating is calculated by using the applicable assessment-rating calculator which in turn will constitute the final outcome of the performance appraisal/assessment.

7.6 The assessment of the performance of the employee will be based on the following rate scale for KPA's and CCR's:

Level	Terminology	Description	Rating: 1- 5
5.0	Outstanding performance	Performance exceeds by far the standard expected of the employee at this level. The appraisal indicates that the employee has achieved <u>above fully effective results against all performance criteria and indicators as specified in the Performance Plan and maintained this in all areas of responsibility throughout the year.</u>	
4.0 to 4.9	Performance significantly above expectations	Performance is significantly higher than the standard expected in the job. The appraisal indicates that the Employee has achieved <u>above fully effective results against more than half of the performance criteria and indicators and fully achieved all others throughout the year.</u>	

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Level	Terminology	Description	Rating: 1 - 5
3.0 to 3.9	Fully effective	Fully effective Performance fully meets the standards expected in all areas of the job. The appraisal indicates that the Employee has <u>fully achieved effective results against all significant performance criteria and indicators as specified in the Performance Agreement and Performance Plan.</u>	
2.0 to 2.9	Not fully effective	Performance is below the standard required for the job in key areas. Performance meets some of the standards expected for the job. <u>The review/assessment indicates that the employee has achieved below fully effective results against more than half the key performance criteria and indicators as specified in the PA and Performance Plan.</u>	
1.0 to 1.9	Unacceptable performance	Performance does not meet the standards expected for the job. <u>The review/assessment indicates that the employee has achieved below fully effective results against almost all of the performance criteria and indicators as specified in the PA and Performance Plan. The employee has failed to demonstrate the commitment or ability to bring performance up to the level expected in the job despite all efforts to encourage improvement.</u>	

8. SCHEDULE FOR PERFORMANCE REVIEWS

8.1 The performance of each employee in relation to his/her performance agreement shall be reviewed on the following dates with the understanding that reviews in the first and third quarter may be verbal if performance is satisfactory:

Appraisals/Assessments will be done during the first two weeks of the new quarter.

First quarter (July – September 2020)

Second quarter (October – December 2020)

Third quarter (January – March 2021)

Fourth quarter (April – June 2021)

8.2 The employer shall keep record of the mid-year review and annual assessments.

8.3 Performance feedback shall be based on the employer's assessment of the employee's performance.

8.4 The employer will be entitled to review and make reasonable changes to the provisions of "Appendices A and B" from time to time for operational reasons. The employee will be fully consulted before any such change is made.

8.5 The employer may amend the provisions of "Appendices A and B" or any other part of the performance plan and performance agreement whenever the performance management system has been changed or amended in which instances the employee will be fully consulted before any such changes are being made.

9. DEVELOPMENTAL REQUIREMENTS

The Personal Development Plan (PDP) for addressing developmental gaps is attached as Appendix C.

10. OBLIGATIONS OF THE EMPLOYER

10.1 The employer shall –

10.1.1 create an enabling environment to facilitate effective performance by the employee;

10.1.2 provide access to skills development and capacity building opportunities;

10.1.3 work collaboratively with the employee to solve problems and generate solutions to common problems that may impact on the performance of the employee;

10.1.4 on the request of the employee delegate such powers reasonably required by the employee to enable him/her to meet the performance objectives and targets established in terms of this agreement; and

10.1.5 make available to the employee such resources as the employee may reasonably require from time to time to assist him/her to meet the performance objectives and targets established in terms of this agreement.

11. CONSULTATION

11.1 The employer agrees to consult the employee timeously where the exercising of the powers will have amongst others –

11.1.1 a direct effect on the performance of any of the employee's functions;

11.1.2 commit the employee to implement or to give effect to a decision made by the employer; and

11.1.3 a substantial financial effect on the employer.

11.2 The employer agrees to inform the employee of the outcome of any decisions taken pursuant to the exercise of powers contemplated in 11.1 as soon as is practicable to enable the employee to take any necessary action without delay.

12. MANAGEMENT OF EVALUATION OUTCOMES

12.1 The evaluation of the employee's performance will form the basis for rewarding outstanding performance or correcting unacceptable performance as per the approved performance policy of the municipality.

12.2 The employee will be eligible for progression to the next higher remuneration package, within the relevant remuneration band, after completion of at least twelve months (12) service at the current remuneration package on 30 June (end of financial year) subject to a fully effective assessment, only if such a remuneration band exists within the remuneration system of the municipality for the particular post level.

12.3 In the case of unacceptable performance, the employer shall –

12.3.1 provide systematic remedial or developmental support to assist the employee to improve his or her performance; and

12.3.2 after appropriate performance counseling and having provided the necessary guidance and/or support as well as reasonable time for improvement in performance, the employer may consider steps to terminate the contract of employment of the employee on grounds of unfitness or incapacity to carry out his or her duties.

13. DISPUTE RESOLUTION

13.1 Any disputes about the nature of the Director: Administration's performance agreement, whether it relates to key responsibilities, priorities, methods of assessment and/ or any other matter provided for, shall be mediated by

13.1.1 the Executive Mayor within thirty (30) days of receipt of a formal dispute from the Municipal Manager or

13.1.2 any other person appointed by the Municipal Manager.

13.2 In the event that the mediation process contemplated above fails, clause 19.3 of the Contract of Employment shall apply.

14. GENERAL

14.1 The contents of this agreement and the outcome of any review conducted in terms of "Appendices A and B" may be made available to the public by the employer.


14.2 Nothing in this agreement diminishes the obligations, duties or accountabilities of the Director: Administration in terms of his/her contract of employment, or the effects of existing or new regulations, circulars, policies, directives or other instruments.


Thus done and signed at KIMBERLEY on this 30TH
day of JUNE 2020.

AS WITNESSES:

1. _____

2. _____



DIRECTOR: ADMINISTRATION


MUNICIPAL MANAGER

PERFORMANCE MANAGEMENT SYSTEM (PMS)

PERFORMANCE PLAN for 2020/21 - DIRECTORATE: ADMINISTRATION

APPENDIX A: KEY PERFORMANCE AREAS (KPA's) and KEY PERFORMANCE INDICATORS (KPI's) = 80%

Purpose: The performance plan defines Council's expectations of the Director: Administration's performance agreement to which this document is attached and Section 57(5) of the Municipal Systems Act, which provides that performance objectives and targets must be based on key performance indicators(KPI's) as set in the Municipality's Integrated Development Plan(IDP) as reviewed annually.

Key responsibilities:

1. Provide effective Office Support services.
2. Ensure effective Human Resource Management.
3. Maintain an effective Information Technology System.
4. Promote a Safe and Healthy Environment.
5. Maintain and promote good governance and public participation.
6. Maintain an effective Disaster Management Function.
7. Maintain sound financial viability and management.

Key Performance Area		Key Performance Indicators				Baseline information	Annual Targets		Quarterly Projections									
No.	Key Performance Area (KPA's) IDP Objective	No.	KPI's	SDBIP	Weight		Time Frame	Quantity		1st Quarter		2nd Quarter		3rd Quarter		4th Quarter		
								Number Amount	%	Number Amount	%	Number Amount	%	Number Amount	%	Number Amount	%	
KPA 1: Municipal Institutional Development and Transformation																		
ENVIRONMENTAL HEALTH MANAGEMENT																		
1.1	To monitor and enforce national environmental health norms and standards in the Frances Baard district	13	1	Number of water samples collected analysed to monitor water quality	13	2	460 samples collected in 2019/20	01/07/2020 - 30/06/2021	480	100,00%	120	100,00%	120	100,00%	120	100,00%	120	100,00%
			2	Number of inspections at food premises to determine food safety	13	2	450 inspections conducted in 2019/20	01/07/2020 - 30/06/2021	620	100,00%	155	100,00%	155	100,00%	155	100,00%	155	100,00%
			3	Number of surface swabs collected to analyse for diseases and other health risks	13	1	150 swabs collected in 2019/20	01/07/2020 - 30/06/2021	170	100,00%	42	100,00%	42	100,00%	42	100,00%	44	100,00%
			4	Number of food handlers trained on environmental health requirements	13	1	242 food handlers trained in 2019/20	01/07/2020 - 30/06/2021	360	100,00%	90	100,00%	90	100,00%	90	100,00%	90	100,00%
			5	Number of Inspections at non-food premises	13	2	180 inspections conducted in 2019/20	01/07/2020 - 30/06/2021	300	100,00%	75	100,00%	75	100,00%	75	100,00%	75	100,00%
1.2	To implement and monitor environmental planning and management in the Frances Baard district	13	6	Number of awareness campaigns implemented	14	1	72 awareness campaigns hosted in 2019/20	01/07/2020 - 30/06/2021	84	100,00%	21	100,00%	21	100,00%	21	100,00%	21	100,00%
			7	Number of environmental calendar days celebrated	14	1	5 environmental calendar days celebrated	01/07/2020 - 30/06/2021	7	100,00%	2	100,00%	1	100,00%	2	100,00%	2	100,00%
			8	Number of atmospheric emissions inventory updates performed	14	1	100% updated atmospheric emissions inventory	01/07/2020 - 30/06/2021	4	100,00%	1	100,00%	1	100,00%	1	100,00%	1	100,00%
			9	Number of ambient air quality monitoring reports	14	2	4	01/07/2020 - 30/06/2021	4	100,00%	1	100,00%	1	100,00%	1	100,00%	1	100,00%
Disaster Management																		
2.1	To support local municipalities with the implementation of Disaster Management Legislation	13	10	Number of volunteers trained on disaster risk management.	15	3	30 volunteers trained	01/07/2020 - 30/06/2021	20	100,00%	-	-	20	100,00%	-	-	-	-
			11	Percentage progress on the review of 4x Disaster management plans	15	2	0	01/07/2020 - 30/06/2021	4	100,00%	-	-	-	-	-	-	4	100,00%
2.2	To assist local municipalities by implementing response and recovery mechanisms as per national disaster management framework	13	12	Percentage response to requests on disastrous incidents in the local municipalities.	16	2	100% response to all requests received	01/07/2020 - 30/06/2021	-	100,00%	-	100,00%	-	100,00%	-	100,00%	-	-
2.3	To develop institutional capacity and acquire resources for fire fighting services for 3 LMs		13	Percentage maintenance of fire fighting equipment in the 3LMs	17	2	0	01/07/2020 - 30/06/2021	-	100,00%	-	100,00%	-	100,00%	-	100,00%	-	100,00%
			14	Percentage progress on the establishment of the fire fighting satellite station (phase 1&2)	17	2	0	01/07/2020 - 30/06/2021	-	100,00%	-	100,00%	-	100,00%	-	100,00%	-	100,00%
2.4	To ensure effective internal security measures	13	15	Percentage implementation of the security maintenance plan	18	2	4 Reports developed in 2019/20	01/07/2020 - 30/06/2021	-	100,00%	-	100,00%	-	100,00%	-	100,00%	-	100,00%
3.1	To comply with legislative requirements relating to human resource management and development		16	Percentage compliance with Human Resource Management and Development reporting requirements	19	9	100% Achieved	01/07/2020 - 30/06/2021	-	100,00%	-	100,00%	-	100,00%	-	100,00%	-	100,00%
3.2	To provide support on HR management and development function to LMs	13	17	Number of reports on the support provided to LMs	20	4	3 Reports developed in 2019/20	01/07/2020 - 30/06/2021	4	100,00%	1	100,00%	1	100,00%	1	100,00%	1	100,00%
Human Resource Management																		

PERFORMANCE MANAGEMENT SYSTEM (PMS)

PERFORMANCE PLAN for 2020/21 - DIRECTORATE: AMINISTRATION

APPENDIX A:

KEY PERFORMANCE AREAS (KPA's) and KEY PERFORMANCE INDICATORS (KPI's) = 80%

Purpose: The performance plan defines Council's expectations of the Director: Administration's performance agreement to which this document is attached and Section 57(5) of the Municipal Systems Act, which provides that performance objectives and targets must be based on key performance indicators(KPI's) as set in the Municipality's Integrated Development Plan(IDP) as reviewed annually.

Key responsibilities:

1. Provide effective Office Support services.
2. Ensure effective Human Resource Management.
3. Maintain an effective Information Technology System.
4. Promote a Safe and Healthy Environment.
5. Maintain and promote good governance and public participation.
6. Maintain an effective Disaster Management Function.
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Key Performance Area		Key Performance Indicators				Baseline Information	Annual Targets		Quarterly Projections									
No.	Key Performance Area (KPA's) IDP Objective	No.	KPI's	SDAIP	Weight		Time Frame	Quantity		1st Quarter		2nd Quarter		3rd Quarter		4th Quarter		
								Number	%	Number	%	Number	%	Number	%	Number	%	
				100														
Records Management																		
4.1	To comply with the provincial archives act at FBDM and support the LMs towards compliance by 2022	13	18	Percentage compliance with the provincial archives act in FBDM	21	4	100% Compliance	01/07/2020 - 30/06/2021	-	100%	-	100%	-	100%	-	100%	-	100%
			19	Number of reports on the support provided to LMs	21	3	100% Support	01/07/2020 - 30/06/2021	4	100%	1	100%	1	100%	1	100%	1	100%
4.2	To provide effective and efficient office support functions		20	Number of progress reports on office support functions	22	3	100% Office support rendered for 2019/20	01/07/2020 - 30/06/2021	12	100%	3	100%	3	100%	3	100%	3	100%
4.3	To provide effective and cost efficient office support services		21	Percentage implementation of the municipal buildings maintenance plan	23	3	2019/20 Maintenance projects complete	01/07/2020 - 30/06/2021	-	100%	-	100%	-	100%	-	100%	-	100%
Information Communication Technology (ICT)																		
5.1	To implement and maintain a sharable ICT environment within the district	13	22	Percentage implementation of the ICT systems plan	24	7	100%	01/07/2020 - 30/06/2021	-	100%	-	100%	-	100%	-	100%	-	100%
5.2	To support the improvement of ICT in three LMs		23	Number of reports on support provided to LMs towards the establishment of ICT governance structure	25	3	Status report	01/07/2020 - 30/06/2021	4	100%	1	100%	1	100%	1	100%	1	100%
			24	Number of reports produced on the technical support provided to LMs	25	3	4 reports	01/07/2020 - 30/06/2021	4	100%	1	100%	1	100%	1	100%	1	100%
Performance Management System																		
6	To maintain a functional performance management system in FBDM	5	25	Percentage compliance with performance management system in FBDM.	31	5	100%	01/07/2020 - 30/06/2021	-	100%	-	100%	-	100%	-	100%	-	100%
KPA 4: Good Governance and Public Participation																		
7	To improve internal communication through the implementation of the internal communication strategy	10	26	Percentage implementation of a internal communication plan within the Department: Administration	34	10	100%	01/07/2020 - 30/06/2021	12	100%	3	100%	3	100%	3	100%	3	100%
KPA 5: Municipal Financial Viability and Management																		
8	To ensure compliance to all accounting and legislative reporting requirements.	20	27	Percentage compliance to budgeting and reporting requirements within the Department: Administration.	43	20	100%	01/07/2020 - 30/06/2021	-	100%	-	100%	-	100%	-	100%	-	100%
		100		100														

THIS PERFORMANCE PLAN COVERS THE PERIOD: 01 JULY 2020 - 30 JUNE 2021

DATE SIGNED: 30 JUNE 2020

MUNICIPAL MANAGER:



HOD: ADMINISTRATION:

